



香港城市大學
City University of Hong Kong

專業 創新 胸懷全球
Professional · Creative
For The World

CityU Scholars

Momentum multi-objective optimization algorithm based on black hole algorithm

Rao, Jing; Wu, Tao; Chong, Wu; Li, Yongbo; He, Wangyong

Published in:

IOP Conference Series: Materials Science and Engineering

Published: 01/03/2020

Document Version:

Final Published version, also known as Publisher's PDF, Publisher's Final version or Version of Record

License:

CC BY

Publication record in CityU Scholars:

[Go to record](#)

Published version (DOI):

[10.1088/1757-899X/768/7/072046](https://doi.org/10.1088/1757-899X/768/7/072046)

Publication details:

Rao, J., Wu, T., Chong, W., Li, Y., & He, W. (2020). Momentum multi-objective optimization algorithm based on black hole algorithm. *IOP Conference Series: Materials Science and Engineering*, 768(7), [072046].
<https://doi.org/10.1088/1757-899X/768/7/072046>

Citing this paper

Please note that where the full-text provided on CityU Scholars is the Post-print version (also known as Accepted Author Manuscript, Peer-reviewed or Author Final version), it may differ from the Final Published version. When citing, ensure that you check and use the publisher's definitive version for pagination and other details.

General rights

Copyright for the publications made accessible via the CityU Scholars portal is retained by the author(s) and/or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights. Users may not further distribute the material or use it for any profit-making activity or commercial gain.

Publisher permission

Permission for previously published items are in accordance with publisher's copyright policies sourced from the SHERPA RoMEO database. Links to full text versions (either Published or Post-print) are only available if corresponding publishers allow open access.

Take down policy

Contact lbscholars@cityu.edu.hk if you believe that this document breaches copyright and provide us with details. We will remove access to the work immediately and investigate your claim.

PAPER • OPEN ACCESS

Momentum multi-objective optimization algorithm based on black hole algorithm

To cite this article: Jing Rao *et al* 2020 *IOP Conf. Ser.: Mater. Sci. Eng.* **768** 072046

View the [article online](#) for updates and enhancements.



The banner features a dark blue background with a satellite view of Earth. On the left, there are three circular logos: the top one is 'ECS' in a white circle, the middle one is 'The Electrochemical Society' with a stylized 'ECS' logo, and the bottom one is 'THE KOREAN ELECTROCHEMICAL SOCIETY'. The main text in the center reads 'Joint International Meeting PRIME 2020 October 4-9, 2020' in white and blue. Below this, a light blue bar contains the text 'Attendees register at NO COST!' in dark blue. On the right side, there is a large white 'PRIME' logo with a blue arc above it, followed by 'PACIFIC RIM MEETING ON ELECTROCHEMICAL AND SOLID STATE SCIENCE' and '2020' in white. At the bottom right, a dark blue bar contains the text 'REGISTER NOW' in white with a white arrow pointing right.

Momentum multi-objective optimization algorithm based on black hole algorithm

Jing Rao^{1,*}, Tao Wu¹, Wu Chong², Yongbo Li¹ and Wangyong He¹

¹School of Automation, China University of Geosciences(Wuhan), Wuhan, China

²Department of Electrical Engineering, City University of Hong Kong, Kowloon, Hong Kong

*Corresponding author e-mail: raojing@cug.edu.cn

Abstract. In this paper, we mainly study the application of a multi-objective optimization algorithm based on the black hole algorithm in motor optimization. Using the combination of global search and local search, the continuous search area is better. The random search method is used in the global search, and a momentum gradient method is used in the local search, which makes the search results have faster convergence rates and easier convergence to the global optimal. A new file management strategy is used to make the optimization results more global and have better generalization ability. In practical application, the design of motor is often affected by manufacturing error, so random noise is added to the selection of optimization results, which can be more in line with the practical application. Finally, the actual motor model and complex function are used to test the performance of the optimization algorithm. Finally, the actual motor model and complex function are used to verify the performance of the optimization algorithm.

1. Introduction

Most problems belong to multi-objective optimization problems [1] in the areas of engineering design [2], path planning [3], motor design [4], and so forth. In solving the motor optimization problem, the multi-objective optimization problem is usually transformed into a single objective optimization problem by weighting. Because weights selection have a greater impact on the optimization results, personal factors play a vital part in results, but multi-objective optimization algorithm can offer multiple Pareto optimal solutions. At the same time, using multi-objective optimization algorithm also has some defects, such as high time and space complexity, optimization algorithm precocity. In the past few decades, bioinspired computation has been driven by natural and social behavior phenomena, starting from a set of initial variables and then evolving to discover multiple optimal solutions simultaneously [5]. Algorithms based on swarm intelligence have been introduced to solve MOPs, called evolutionary multi-objective optimization. Thus, it is suitable to solve MOPs by bioinspired computation. Algorithms based on Pareto advantage are the most popular multi-objective evolutionary algorithms [1], which include non-dominant ranking genetic algorithm II (NSGA-II) [6] and intensity Pareto evolutionary algorithm II (SPEA-II) [7]. At present, some machine learning algorithms such as clustering algorithms [9] and statistical methods [10] are gradually applied in optimization.

Many MOEAs have problems in these two field: the first one is high complexity of time and space and the second one is the way how to achieve the balance between diversity and convergence. In [11],



an adaptive multi-objective black hole algorithm is introduced, but there are still some problems in local search, such as algorithm oscillation and high time complexity. Therefore, based on the black hole algorithm (BH algorithm) [12], the author introduces a new momentum multi-objective evolutionary algorithm in this paper in order to solve these problems, and applied a method of combining global optimization with local optimization. Besides, the archive management strategy and Shannon entropy are determined for the calculation of archive viscosity [13]. Analyzing the status of evolution often uses Shannon entropy. The K-mean algorithm is used to select k categories in the file, and the K points which is most suitable for local optimization are obtained for local optimization. The momentum gradient [10] method is used to improve the population variation strategy in local search, and the upper and lower bounds of population variation are determined according to the falling momentum. In order to study the scalability of the momentum multi-objective evolutionary algorithm, simulations are performed with test problems in different degree of difficulties, that is, ZDT3. We can see from the simulation results that, when solving different multi-objective optimization problems, the momentum multi-objective evolutionary algorithm performs well in diversity and convergence.

1.1. Black Hole algorithm

Black hole algorithm is based on the physical phenomenon of black hole absorption. Its basic idea is that objects nearby a space area cannot escape its pall for it has so much mass in it. Everything that falls into a black hole, including light, will disappear from our universe forever [12].

1. The black hole algorithm will start to work out the candidate solution of the initial optimization problem, and use the objective function to calculate.

2. The best candidate star will be selected as the black hole and the rest stars as normal stars in each iteration of the Black Hole. Then, stars will be pulled by the black hole to around it.

3. Black hole will possess nearby star if it get too close to the Hole and the star will disappear forever. In this situation, there will be a new star (candidate solution) that is generated randomly and placed in the search space. Also, there will be a new search.

$$x_i(t+1) = x_i(t) + rand \cdot (x_{bh} - x_i(t)) \quad (1)$$

1.2. Global and Local search based on K-mean algorithm

In the process of global search, initialization of stars will have a great influence on the search results, so in the initialization process, we do not use random initialization but use the initialization method of uniform distribution of search domain, and then use the calculated black hole to optimize each star. The Initialization method as follows:

$$\begin{aligned} S_0^j &= x_{\min}^j \\ S_n^j &= x_{\min}^j + n \cdot (x_{\max}^j - x_{\min}^j) / k \end{aligned} \quad (2)$$

Local search is often used to improve the performance of the algorithm. After the file is full, the better initial solution in the file is selected. In order to reduce the complexity of the algorithm, the K-mean algorithm is used to calculate the Euclidean distance between the solution sets. First, the Initialize cluster centroids $\mu_1, \mu_2, \mu_3, \dots, \mu_k \in S^n$ randomly. Then repeat until convergence:

{
For every i, set:

$$c^i := \arg \min_j \|s_i - \mu_j\|^2 \quad (3)$$

For each j, set:

$$\mu_j := \frac{\sum_{i=1}^n 1\{c^i = j\} s_i}{\sum_{i=1}^n 1\{c^i = j\}} \quad (4)$$

}

And the K best initial solutions are obtained. The elite mutation strategy of a genetic algorithm is used for local search, which is helpful for the algorithm to fall into local optimization.

1.3. Momentum gradient learning rate

In the process of local search, the elite mutation strategy generates some new solutions by random oscillations on the basis of the initial solution. When the optimization algorithm converges to the local optimal, the learning rate will be adjusted accordingly, so that the algorithm can enter the local elite search to prevent the algorithm from entering the local optimal. The fixed learning rate will make the convergence too slow and the amplitude of vibration too large to get optimal. Using a momentum gradient method, through the previous search of the pheromone, the adjustment of each learning rate can be affected by the momentum many times before, and the premature information can be gradually forgotten, and the better optimization effect can be obtained by adjusting the learning rate. The fixed learning rate can make the convergence too slow and the amplitude of the oscillation too large to get the best, using a method of momentum gradient, through the pheromone of the previous search, adjust the learning rate to get better optimization effect.

$$\begin{aligned}
 l_{\nabla W_0} &= 1 \\
 l_{\nabla W_1} &= \beta l_{\nabla W_0} + (1 - \beta) \nabla W_1 \\
 &\dots \\
 l_{\nabla W_n} &= \beta l_{\nabla W_{n-1}} + (1 - \beta) \nabla W_n
 \end{aligned} \tag{5}$$

Where ∇W is the gradient sequence and β is the weighting function. In this paper, the β is 0.1. When performing a local search, the formula of the algorithm is :

$$x_i(t+1) = (x_i^{\max} - x_i^{\min}) \cdot \text{Gaussian}(0, \text{rand}^2) + x_i(t) \tag{6}$$

1.4. Archive Manage Strategy

In the archives management, because of the fixed file size, the new solution and the old solution conflict, the new solution when enters the file question, here proposed the archives management strategy to deal with the following question. First, the new answer is abandoned When the old answer is good than the new answer. Then if the new answer is all better than the old answer, the old answer is removed and the new answer enters the archive. Finally, if the new answer and all the old answer are not dominant, the new answer will enter the archive when the archive is not contented. But when the archive is contented, calculating the viscosity of the archive, If the new answer is more concentrated, give up the new answer. The viscosity of the solution set D_n calculated between the characteristics of individual i and individual j , as follows.

$$D_n = \min \sqrt{\sum_{k=1}^m (S_i^k - S_j^k)^2} \tag{7}$$

1.5. Input parameter adjustment

In the practical application, due to the factors of the manufacturing process and the production level, the actual production cannot be as accurate as the optimization result, and in order to improve the robustness of the optimization result, some disturbance is added in the parameter input, which also can avoid the early maturity of the optimization algorithm, and the 6-sigma principle in the industrial manufacturing is followed.

$$x_{ij} = x_{ij} [1 + \text{Gaussian}(0, \sigma^2)] \tag{8}$$

2. Simulation and optimization result verification

2.1. Evaluation Criteria for Optimization Results

In 1949, Shannon et al. in their paper [14] introduced a concept of Shannon entropy, which before the reception was presented as a mode of the amount of information which is losing. And Shannon entropy is as follows:

$$H(T) = -K_e \sum_{t \in T} p_i(t) \log_2 p_i(t) \tag{9}$$

where the $p_i(x)$ is the probability which is the Probability of occurrence of the i . K_e is the fixed value, and T is the set of events t .

In this paper, the viscosity of archives based on the calculation of entropy:

$$H(t) = -N(t) \sum_{n=1}^{N(t)} \sum_{m=1}^M p_i(t) \log_2 p_i(t); \quad (10)$$

$$p_i(t) = \frac{D_{n,m(t)}}{N(t)M}$$

2.2. complex function optimization

In order to test the ability of the algorithm, the ZDT3 function is used to verify the momentum multi-objective optimization algorithm. The function can verify the performance of the algorithm in terms of concaveness, convexity, dispersion, nonuniformity, multimodality and so on. Using momentum multi-objective optimization algorithm optimizes this function, and the iterations $T = 500$, the number of stars $K = 300$, and archive size $N = 50$. The optimization function is as follows:

$$\begin{aligned} \min \quad & f_1(x) = x; \\ \min \quad & f_2(x) = g(x) \left[1 - \sqrt{\frac{x_1}{g(x)}} - \frac{x_1 \sin(10\pi x_1)}{g(x)} \right] \\ \text{s.t.} \quad & g(x) = 1 + 9 \frac{\sum_{i=2}^n x_i}{n-1}, x \in [0, 1], i = 1, 2, \dots, n, n = 30. \end{aligned} \quad (11)$$

The optimization results are shown in the following figure:

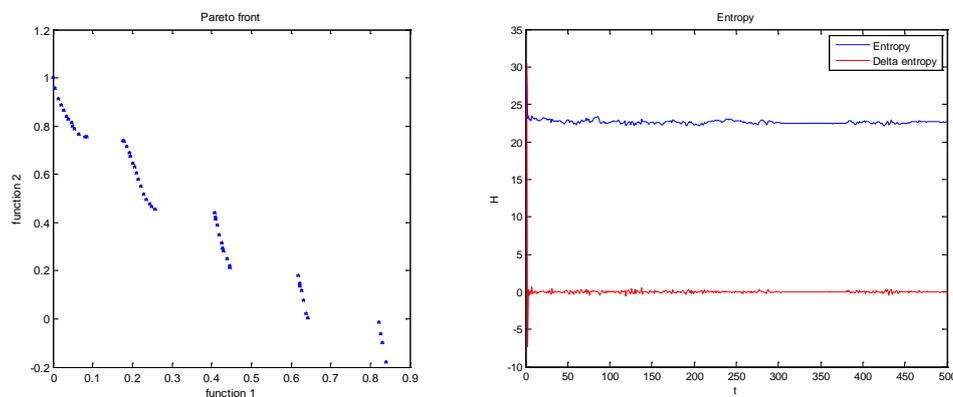


Figure 1. Algorithm optimization result and Shannon entropy

Because of the complexity and nonlinear of ZDT3, the optimal solution curve of the function is ladder. From the optimization results, the obtained pareto is distributed on the optimal path of the function, and the distribution is uniform, and the Shannon entropy curve is also very stable.

2.3. Motor model optimization

Based on the analysis of the electromagnetic field model in [16], the thrust model and copper loss model of The eddy-losses of Linear PM synchronous machine are obtained. The optimization objectives are motor thrust, permanent magnet volume, and copper loss. The optimized parameters are as follows:

Table 1. Optimization design variables of the Linear PM synchronous machine

Variable	Symb	Unit	Range
Outer Radius of Coil	R_s	mm	$R_{i1} < R_s \leq 34$
Outer Radius of PM	R_m	mm	$R_{i2} + 5 < R_m < R_s$
Inner Radius of PM	R_{i2}	mm	$5 < R_{i2} < 28$
Width of PM	$2b$	mm	$0.5\tau_p < 2b < 0.9\tau_p$
Coils Number	N	--	--
Air Gap	σ_s	mm	1
Inner Radius of Coil	R_{i1}	mm	$R_m + \sigma_s$
Current Density	J_m	A/mm ²	4
Rated Speed	v_N	m/s	9

The optimization function is:

$$\min : V = 2\pi \left(R_2^2 - (R_2 - D_2)^2 \right) b$$

$$\min : 1/F = \frac{1}{\sqrt{2} J_m \sum_{n=1}^{\infty} K_{Tn} \left[\cos \left(m \left(z - \tau_p \right) \right) \sin \left(\omega t \right) + \cos m \left(z - \frac{7\tau_p}{6} \right) \sin \left(\omega t - \frac{2\pi}{3} \right) \right]}$$

$$\min : P_{cua} = 3(J_m s_d)^2 R \tag{12}$$

$$\text{s.t. } 180 \leq f_1(x) = E_m \leq 400$$

The optimization results are shown in the following figure:

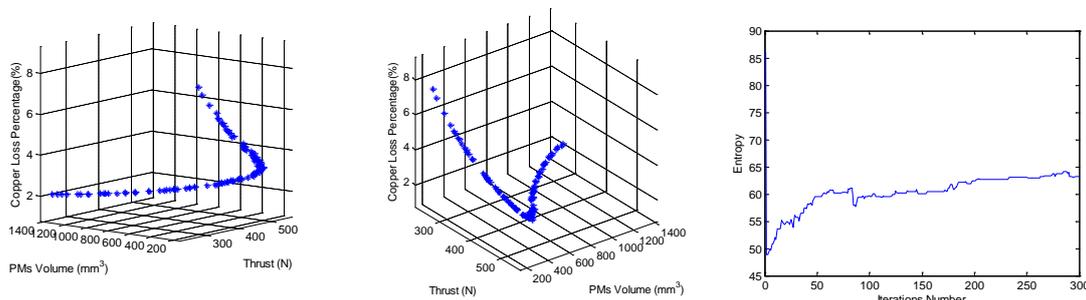


Figure 2. Algorithm optimization result and The Shannon Entropy Evolutionary

From the result of the optimization, the optimization curve is smooth and the pareto is uniform, and the Shannon entropy based on the viscosity of the file is gradually raised and stabilized at a higher level with the optimization iteration times.

3. Conclusion

Based on the improvement of black hole algorithm, this paper proposes a more efficient momentum multi-objective optimization algorithm which applies the mutation in genetic algorithm to local search. In this algorithm, the momentum gradient method is used to set the learning rate to avoid local optimization and accelerate the convergence speed of the algorithm. In the Momentum multi-objective optimization algorithm. Greater entropy deputies better consistency and variety. The K-mean algorithm in machine learning is used to select the initial value of local search. Calculate the viscosity between the solution sets in the file management, so that the viscosity of the archive is minimized. The optimization performance of the actual motor and the Momentum multi-objective optimization algorithm is tested. Momentum multi-objective optimization algorithm has a good balance of variety and convergence in the validation function, although its convergence rate will have a great impact on the performance of some multimodal problems. In terms of time complexity, Momentum multi-objective optimization algorithm is also very excellent. Therefore, Momentum multi-objective optimization algorithm is a new and powerful multi-objective optimization algorithm.

References

- [1] D. Gong, Z. Miao, and J. Sun, “A memetic algorithm for multiobjective optimization problems with interval parameters,” in Proceedings of the 2016 IEEE Congress on Evolutionary Computation, CEC 2016, pp. 1674–1681, July 2016.
- [2] J. Sanchis, M. A. Martinez, X. Blasco, and G. Reynoso-Meza, “Modelling preferences in multi-objective engineering design,” *Engineering Applications of Artificial Intelligence*, vol. 23, no. 8, pp. 1255–1264, 2010.
- [3] Y. Zhang, D.-W. Gong, and J.-H. Zhang, “Robot path planning in uncertain environment using multi-objective particle swarm optimization,” *Neurocomputing*, vol. 103, pp. 172–185, 2013.
- [4] H. Qiu and H. Duan, “Multi-objective pigeon-inspired optimization for brushless direct current motor parameter design,” *Science China Technological Sciences*, vol. 58, no. 11, pp. 1915 – 1923, 2015.
- [5] Ma. Zhou, L.-b. Zhang, C.-g. Zhou, M. Ma, and X.-h. Liu, “Solutions of multi-objective optimization problems based on particle swarm optimization,” *Journal of Computer Research and Development*, vol. 7, article 7, 2004.
- [6] K. Deb, A. Pratap, S. Agarwal, and T. Meyarivan, “A fast and elitist multiobjective genetic algorithm: NSGA-II,” *IEEE Transactions on Evolutionary Computation*, vol. 6, no. 2, pp. 182–197, 2002.
- [7] E. Zitzler, M. Laumanns, L. Thiele et al., *SPEA-II: Improving the Strength Pareto Evolutionary Algorithm*, 2001.
- [8] Qian N. On the momentum term in gradient descent learning algorithms.[J]. *Neural Networks the Official Journal of the International Neural Network Society*, 1999, 12(1):145-151.
- [9] Yadav J, Sharma M. A Review of K-mean Algorithm[J]. *International Journal of Engineering Trends & Technology*, 2013, 4(7).
- [10] Chunyue S, Zhihuan S, Ping L, et al. The study of Naive Bayes algorithm online in data mining[C]// *World Congress on Intelligent Control & Automation*. 2004.
- [11] Chong W , Tao W , Kaiyuan F , et al. AMOBH: Adaptive Multiobjective Black Hole Algorithm[J]. *Computational Intelligence and Neuroscience*, 2017, 2017:1-19.
- [12] Y. Liu, D. Gong, J. Sun, and Y. Jin, “A many-objective evolutionary algorithm using a one-by-one selection strategy,” *IEEE Transactions on Cybernetics*, vol. 47, no. 9, pp. 2689–2702, 2017.
- [13] Jensen R. The maximum principle for viscosity solutions of fully nonlinear second order partial differential equations[J]. *Archive for Rational Mechanics & Analysis*, 1988, 101(1):1-27.
- [14] C. E. Shannon, W. Weaver, and N. Wiener, *The Mathematical Theory of Communication*, The University of Illinois Press, Urbana, Ill, USA, 1949.
- [15] E. J. S. Pires, J. A. T. Machado, and P. B. de Moura Oliveira, “Entropy variety in multi-objective particle swarm optimization,” *Entropy*, vol. 15, no. 12, pp. 5475–5491, 2013.
- [16] Wu T , Lu K , Zhu J , et al. Calculation of Eddy Current Loss in a Tubular Oscillatory LPMSM Using Computationally Efficient FEA[J]. *IEEE Transactions on Industrial Electronics*, 2019, 66(8):6200-6209.