**PIPETTE: Efficient Fine-Grained Reads for SSDs**

Shuhan Bai, Hu Wan, Yun Huang, Xuan Sun, Fei Wu, Changsheng Xie, Member, IEEE, Hung-Chih Hsieh, Tei-Wei Kuo, Fellow, IEEE, and Chun Jason Xue, Senior Member, IEEE

**Abstract**—Big data applications, such as recommendation system and social network, often generate a huge number of fine-grained reads to the storage. Block-oriented storage devices upon the traditional storage system rely on the paging mechanism to migrate pages to the host DRAM, tending to suffer from these fine-grained read operations in terms of I/O traffic as well as performance. Motivated by this challenge, an efficient fine-grained read framework, Pipette, is proposed in this paper as an extension to the traditional I/O framework. With adaptive design for caching, merging and scheduling, Pipette explores locality and acceleration for fine-grained read requests to establish an efficient byte-granular read path upon the dedicated byte-addressable interface. When the Pipette prototype on an SSD runs popular workloads, we measured throughput gains by up to 50% and 54% with traffic reduction in the range of 41.3× and 56.5×.

**Index Terms**—file system, solid-state drive, fine-grained reads.

I. INTRODUCTION

**FINE-GRAINED** reads, each a few hundred bytes or less, are prevalent in many large-scale big data applications, such as recommendation system [1], [2], social network [3], and search engine [4]. For example, recommendation system handles sparse input features by looking up embedding vectors from SSDs [5]. The typical size of an embedding vector is 128B [6], while the minimal read granularity of block storage devices is often at 4KB, which is highly unfit for tiny accesses.

Fixed interfaces ease development but lead to severe performance degradation when fine-grained accesses are required. The main reason is that fine-grained reads are incompatible with the paging mechanism and read-ahead strategy that are widely used for bridging block-oriented devices in the traditional storage system. Non-negligible performance overhead is incurred by enforcing accessing and managing data in fixed granularity via the block-based interface. First, page-level migrations triggered by byte-granular requests induce a tremendous amount of extra I/O traffic and a high read amplification, which indicates the ratio of the amount of data read from the storage device to the amount of data requested by the application. Second, the paging mechanism faces pollution and thrashing problems caused by fine-grained reads dominated applications whose working set sizes are significantly larger than the available DRAM capacity. Third, the read-ahead strategy further incurs performance deterioration. The traditional storage system with it prevents devices from exploiting internal parallelism since there is no design for I/O scheduling to rethink spatial locality.

This paper proposes Pipette, a practical fine-grained read framework concurrent with the traditional block-based framework. It exploits adaptive caching mechanisms and best-effort merging and scheduling strategies for fine-grained requests upon the byte-accessibility of SSDs to provide an efficient fine-grained read path, significantly reducing read amplification while achieving high read performance. Pipette makes use of the physical resources between the SSD and the host DRAM in both byte and block granularity. Thanks to the byte-accessibility provided by the PCIe interconnection, it is feasible to build a byte-addressable interface by establishing DMA mapping to make programs access data across the SSD and the host DRAM seamlessly with negligible overhead.

Accessing the SSD for every read request is slower than accessing the host DRAM. Pipette treats the host-assigned memory as a fine-grained read cache with adaptive caching mechanisms to determine which fine-grained data should be included or reclaimed. This orchestrated cache dynamically and compactly adapts to different data sizes and maintains efficient memory utilization. Applications can transparently exploit advantages of both the byte-addressable SSD and main
memory. We support promoting frequently accessed data to the host DRAM for fast access, benefiting from temporal locality. Note that the promotion granularity is byte. Such a flexible granularity can reduce read amplification and I/O traffic by minimizing unnecessary transferring overhead while keeping the host DRAM from pollution and thrashing problems. Besides, a dynamic allocation strategy is designed to balance the memory usage of the page cache and the fine-grained read cache, which is aided by an adaptive reassignment strategy to improve performance for different access patterns.

Furthermore, to improve system responsiveness, Pipette regroups and schedules requests with best effort based on workload access patterns and available processing resources. Its design is based on two ideas. First, we observed that logical neighboring pages are likely to be independently accessed in parallel inside the SSD. Pipette reorders and groups requests with related logical addresses into large batches to exploit device internal parallelism and workloads’ spatial locality. Second, Pipette minimizes I/O waiting time by elegant scheduling of batched requests. A stall-free submission mechanism is adopted to ensure request execution without device pausing, maximizing the utilization of device processing capacity. These ideas help reshape I/O patterns to be friendly to workloads’ spatial locality and device internal resources.

We implement the Pipette prototype on a real SSD development platform and evaluate it under various synthetic and real workloads. Our contributions are summarized as follows:

- We present Pipette, a fine-grained read framework, as an extension to the traditional I/O framework, which achieves good read performance with notably lower read amplification and I/O traffic.
- We propose an adaptive caching design that explores a suitable mixture of temporal and spatial locality, avoiding coarse-grained reads from polluting the memory cache.
- We introduce a best-effort fine-grained I/O merging and scheduling scheme that combines read requests that access logically neighboring data and schedules batched requests with minimum I/O waiting time, profiting from the spatial locality, device internal resources and concurrency.
- We provide evaluations of Pipette to show the throughput gain by up to 50% and 54% for recommendation system and social graph with significant traffic reduction.

The original implementation of Pipette was presented in a previous conference paper [12], which only handled small synchronous reads issued with one thread. Parallel reads, which occur when the process issues multiple requests under concurrency or multi-thread, are not supported. This paper significantly extends our preliminary work in several aspects:

- We extend Pipette, our proposed collaborative full-stack framework for fine-grained reads, to support an arbitrary number of worker threads, moving the focus toward the parallel architecture and its scalability.
- We optimize adaptive caching mechanisms with a periodic reset strategy to mitigate the slow perception of access pattern changes by periodically saving and comparing access patterns and resetting associated parameters.
- We propose a best-effort fine-grained I/O merging scheme to aggregate tiny reads that access adjacent logical blocks. It achieves better system responsiveness since data spatial locality and device-level parallelism can be used to reduce time overhead caused by intra-device data transfer.
- We present a stall-free submission mechanism for scheduling to pursue non-stop request execution, maximizing the utilization of device processing capacity.
- We implement and evaluate the Pipette prototype with parallel architecture on a platform offering a larger degree of parallelism. Workloads with more diverse data sizes and heterogeneity are employed under multiple threads.

The paper is organized as follows. In §II, we discuss related works and identify the key factors that make our proposal novel. §III provides needed theoretical background. Pipette’s design and implementation are introduced in §IV with experimental evaluations in §V. We present our conclusions in §VI.

II. RELATED WORK

It is well known that the high-level abstraction of standard block I/O interface limits the utilization of devices and the performance of applications. Academia and industry have long recognized its overhead and attempted to bridge this gap with the flexible storage interface for SSDs [8]–[14].

Three pieces of prior works are comparable to ours in that they enable the byte-addressability of PCIe interconnection for data access to remove extra transferring overhead. 2B-SSD [10] exposes persistent memory and allows applications to access it directly using memory instructions, bypassing the block I/O stack without considering data locality for better performance. Moreover, it employs an address translation unit to enable byte-accessibility by redirecting memory instructions into the persistent memory. In contrast, Pipette provides a portion of host-side memory resources, whose mapping is established with device-side address range at the initialization stage, to cache frequently accessed data and gain benefits from the faster DARM access and temporal locality without address translation overhead. Besides, a module for I/O merging and scheduling is added to take spatial locality and device internal parallelism into account. Pipette can directly access the SSD without paging mechanism as well as achieve good performance in handling data locality. FlatFlash [9] leverages the unified address translation mechanism in [14] to reduce the address translation overhead and introduces a scheme for promoting hot pages to relieve duplicate data movement further. Note that the promotion granularity is page, unnecessary data inside pages still generates a tremendous amount of extra I/O traffic. Pipette organizes the host mapping region into slab structure with multi-granularity items to compactly accommodate tiny data of different sizes, fully utilizing precious memory resources and minimizing redundant data transfer. CoinPurse [11] encapsulates direct accesses to the device in the form of system calls to optimize partial updates only, leaving fine-grained reads unexplored. On the other side, Pipette proposes a top-down fine-grained read framework, assisted with adaptive mechanisms for caching, merging and scheduling, as an extension to the traditional I/O stack to enable efficient multi-granular reads for SSDs.
As for the initial Pipette [12], it handles synchronous fine-grained reads under a single thread, leaving optimization space to rethink system scalability and parallel reads. Pipette with parallel architecture comprehensively considers spatial locality and device processing resources to improve system responsiveness with best-effort merging and stall-free scheduling.

III. BACKGROUND

A. Conventional Block-based Read Path

The current I/O stack for NVMe SSDs requires many operations to service a single read request through multiple independent layers, as shown by the dotted box in Figure 2. For example, when a few bytes are requested from SSDs, the application issues a buffered read I/O, which first falls into the virtual file system layer to manage the information maintained for entry into the page cache layer. Demanded data will be returned to the user space directly when a page cache hit occurs. Upon a cache miss, memory pages are allocated for storing missing file blocks while conducting read-ahead. The file system retrieves logical block addresses (LBAs) of missing pages and issues a block request to the underlying block layer. In the block layer, associated read requests are inserted into request queues, where I/O merging and scheduling are performed [15]. The request is then dispatched to the device driver layer, which generates the corresponding I/O command and sends it to an NVMe submission queue. The I/O command is received and handled by the storage device. Finally, the host receives an interruption from the SSD when the fine-grained read request is done, and the requested and read-ahead pages are read into the page cache, even if a few bytes are demanded.

The traditional framework performs well in handling spatial locality for coarse-grained reads due to its read-ahead and paging mechanism. However, page cache faces underutilization problem caused by fine-grained reads scattered around, leading to large amounts of redundant data polluting memory. Moreover, the cost of page migration is exacerbated in both I/O and amplification for tiny accesses since the fixed interface enforces transferring data in the unit of block.

B. Byte-Addressable Interface for SSDs

Being able to access devices in multi-granularity can eliminate the cost of page migration caused by the block-based interface. With the existing bus/interface standard for SSDs, i.e., PCIe (or NVMe) [16], [17], CPUs are capable of performing memory-like accesses to peripheral devices in byte granularity by utilizing the available base address registers (BARs) in the SSD controller through the memory-mapped I/O (MMIO) [9]–[11]. Since NAND flash chips have to be accessed in page granularity, we can leverage the DRAM inside SSDs, which is used to cache user data and store metadata for the flash translation layer (FTL), to service the CPU’s memory requests. NVMe protocol defines controller memory buffer (CMB), a general-purpose region of device controller memory exposed as part of a PCIe BAR for SSDs, to notify the host to add this extended mappable region at the system reset stage. Note that the host-assigned address range is only used for address decoding and consumes no physical memory. The device is responsible for linking the address space exposed to the CPU and the memory space in the SSD, i.e., CMB. When MMIO is issued to the specified BAR’s memory range, a memory read/write to it will be redirected to the on-device DRAM.

Such a CMB-based byte-addressable interface handles fine-grained reads in the following fashion: SSD controller reads whole pages from flash chips to the CMB, invokes address translation or sets mapping for direct memory access (DMA), and then transfers fine-grained data to the host through MMIO or DMA (an approach that allows certain hardware subsystems to access the main memory independently of the CPU) [10].

Since no caching is supported between the host and SSDs, the above interconnect scheme for realizing byte-granular accesses cannot cache hot data in memory to gain performance benefits. Although high-reusable pages can be cached inside the CMB, on-chip persistent memory is required. Also, the page granularity of data promotion causes device-side pollution or thrashing problems with high management efforts. Besides, the preparation overhead of frequent address translation required before every access can not be ignored.

IV. PIPETTE DESIGN

Pipette’s architecture is illustrated in Figure 2. Given an I/O interconnect that allows byte accesses, Pipette superimposes byte-accessibility (§IV-A) on SSDs to provide an efficient read path (§IV-B) with adaptive caching mechanisms (§IV-C) and best-effort merging and scheduling strategies (§IV-D).

A. Enabling Byte-Accessibility of SSDs

NVMe standard provides the host memory buffer (HMB) feature for the host to allocate host memory resources requested by the device controller for exclusive use [17]. During system boot time, the BIOS and OS probe PCIe devices, like SSDs, and initialize them to an active state. Upon the PCI enumeration stage after the system reset, the host identifies the information indicated by the controller to create the HMB region within the given limits to avoid incomplete utilization. Afterward, a descriptor list that describes a set of host memory address ranges for vendor-specific use is supplied to the device controller. The end-point device is responsible for establishing DMA mapping that links a portion of internal controller resources and the host-assigned memory space according to the received descriptor list while enabling the HMB feature at the initialization stage. Once completed, there is no overhead for data transferring preparations like address translation.

With PCIe MMIO, all memory requests are allowed to be directly issued to the device. Note that an MMIO read is split into small-size non-posted transactions, which require a bus transaction to respond with a read completion response to indicate the success or failure of the transaction. Taking the round trip delay caused by waiting for a read completion into account, we leverage DMA to offload the expensive memory operations. Moreover, since the dedicated DMA facility provides hardware independence, it can further accelerate memory-like reads by concurrency and the reduction of on-device CPU cycles needed to transfer data. Therefore, applications may map the HMB region into their user space
A fine-grained read request goes through the virtual file system layer and is first performed by the page cache while access counts of it and the fine-grained read cache are recorded. Upon a page cache hit, Pipette increases the page cache’s hit count and calculates its hit ratio, and then the requested data will be read from the hit page. If a cache miss occurs, Fine-Grained Access Detector is triggered (see Detector in Figure 2). It verifies the permission to enable byte-granular datapath and maintains all access ranges, so that Pipette can determine which part of each page is demanded. Read Dispatcher receives all read requests from the page cache and dispatches them to either the byte-addressable interface or the block I/O interface, mainly based on the data size. This is the function of Dispatcher described in Figure 2.

A fine-grained read is dispatched to the lookup module of the Fine-Grained Read Cache, i.e., the corresponding per-file hash lookup table, to retrieve whether the required page range is cached in the host DRAM. Pipette first updates the reuse ratio of the fine-grained data and the reference threshold for filtering cold data. Upon a tiny read hit, the hit ratio of the fine-grained read cache is updated and the status of the hit data is checked. The hit data will be returned to the user if it is not outdated. Instead, when a write operation has rewritten the corresponding raw data, the up-to-date data will be retrieved from the flash memory through the byte-granular datapath (see §IV-B2). As for normal reads, they are handled by the conventional block-based read path for subsequent processing.

When a fine-grained read miss happens, it is sent to the Fine-Grained Access Constructor, which is referred to as Constructor in Figure 2. The constructor requests LBA Extractor, a file system extension for fine-grained reads that bypasses the generic block layer, to retrieve LBAs of pages that include needed data and notifies the Merge Queue to receive and manage fine-grained reads. According to the status of the submission queues (see SQ in Figure 3), the merge queue either conducts I/O merging or informs the Requester to submit the reconstructed read request directly to the SSD. Suppose unprocessed requests are waiting in the submission queues. In that case, i.e., busy status, the newly arriving fine-grained read is stalled inside the merge queue and merged with other in-queue requests that access adjacent data within the device. Once the device completes a request, the earliest queued merged request is sent to the device for processing. The merged read request notifies the device that a batch of fine-grained reads has been issued. Still, it merely sends the start LBA of associated continuous pages, the number of pages and the number of fine-grained reads to allow the device to read several whole pages in parallel first. Instead, when all of the submission queues are empty and remain in idle status, the upcoming fine-grained read transforms itself into a request and is sent directly to the device by the requester. The reconstructed read request informs the device that a fine-grained read is arriving, but only the LBA of the related page is sent to get the device ready to read the entire page first.

While the device is reading pages from flash chips, the insertion logic of the Fine-Grained Read Cache is switching on or, when multi-threaded, the read flow of other fine-grained reads is in progress. We continue with the former to introduce
the following process. The fine-grained read cache allocates space for the small read according to the recorded access size and inserts a new entry into the corresponding hash table to contain associated information about data extraction and transfer. This is the function illustrated by the I/O command flow between Constructor and Fine-Grained Read Cache in Figure 2. Afterward, Pipette maintains these related parameters in an Info item (see host-side step 3a in Figure 3) and notifies the device to assist it in performing subsequent operations.

Fine-Grained Read Engine is invoked when the device receives the reconstructed read request or the merged read request (steps 1 and 2 in Figure 3). Although the HMB-based byte-addressable interface supports data access in byte granularity, NAND flash chips have to be accessed in page. A portion of available DRAM inside the SSD is leveraged as a read buffer to provide the necessary bridge between the byte-accessible interconnect and flash chips. Further, Pipette treats this read buffer as an SSD-Cache that holds frequently accessed pages for better performance. As illustrated in device-side step 3 in Figure 3, the engine processes fine-grained reads in three phases. 1) Load pages to the pre-allocated read buffer (step 3a). 2) Consume Info items to get host-assigned destination addresses, which are allocated synchronously when full flash pages are read on the device side, and other information about data extraction (step 3b). 3) Extract all access ranges (step 3c). When the fine-grained read request completes, the engine returns demanded data to the fine-grained read cache (step 4) with a read completion (step 5) to notice the host of the arriving new data (see data flow between Read Engine and Fine-Grained Read Cache in Figure 2).

2) Data Consistency Guarantee: Dual caches, i.e., the page cache and the fine-grained read cache, incur possible consistency issues. Write requests first store data in memory pages and then flush them to device blocks during persistence. Read requests that occur after the update can obtain the up-to-date data from the page cache, but the proposed fine-grained read cache may still store the old data. When updated pages in the page cache are reclaimed, outdated data from the fine-grained read cache may be returned to fine-grained reads. To guarantee data consistency, Pipette enforces applications to check the fine-grained read cache every time a write operation occurs and set an updated tag to the found item. Once a hit item in the fine-grained read cache is marked as updated, the requested data is retrieved from the flash chips again. In this way, subsequent read requests will either get the updated data from the page cache or the latest data from the flash memory without affecting the promotion of the relevant data. Moreover, when reads and writes of the same data happen simultaneously, the built-in page lock state can ensure the correctness of the read data. For an n-byte write operation, we denote the length of link lists led by hash heads corresponding to its n offsets as $m_1, ..., m_n$, and the worst- and best-case time complexity for ensuring data consistency is $O(\sum_{i=1}^{n} m_i)$ and $O(n)$.

C. Fine-Grained Read Cache

Frequently accessed data shall be promoted to the host-side shared region for better performance. A fine-grained read cache assisted by hash lookup tables and LRU lists (§IV-C1) is introduced to facilitate effective caching for tiny reads in byte granularity with several caching mechanisms (§IV-C2-§IV-C4). We present the procedure of reading data upon dual interfaces with the adaptive caching scheme in Algorithm 1.

1) Cache Organization and Management: The data layout of the fine-grained read cache is illustrated in Figure 4. It consists of three partitions, TempBuf Area, Info Area, and Data Area, and their detailed design is shown below.

TempBuf Area is a temporary buffer for low-reuse data to avoid polluting precious memory. Fine-grained data accessed for the first time or the first few times will be temporarily held inside the TempBuf Area by Pipette as infrequent accesses, preventing the host DRAM from thrashing and pollution problems for data-intensive applications. A current position is collected and managed to synchronize the allocation of the temporary destination address in the case of multiple threads.

Info Area is jointly managed by the host and device to maintain the recorded information (see Record Info in Figure 4) of read requests. Each Record Info delivers sufficient information to handle a fine-grained read request or a merged read request representing a batch of tiny reads located in neighboring pages. A composite record corresponds to a read request of data segment(s) resident in (consecutive) LBA(s), so the number of accessed pages (see LBA number) and the number of tiny reads related to the read request (see Record number) are contained in the Record Info. Besides, metadata of individual fine-grained reads (i.e., records) that make up the read request are held, including the page index (see LBA Offset), read range (see Byte Offset and Byte Length) and destination address (see Dest. Start Addr.). To manage the consumption and generation of Record Infos, the Info Area is organized as a circular queue of composite records with head and tail pointers controlled by the device and the host, respectively. On the one hand, the host increases the tail’s value and appends a Record Info (see the blue arrow in Figure 4) whenever a read request is issued to the device. On the other hand, the device raises the head’s value and consumes a Record Info (see the orange arrow in Figure 4) at the end of a fine-grained read procedure.

Data Area caches byte-granular data in an orchestrated structure that considers various data sizes. Inspired by the Linux buddy system and kernel slab management [18], it organizes memory into uniformly sized slabs, and each slab contains pre-divided items with the same capacity. Slabs are classified into different classes according to the capacity of contained items, which can be set flexibly. Pipette stores promoted data in the smallest possible slab class that can
accommodate the data size completely. An LRU list is maintained for each slab class to arrange items holding data in access order. If no free space remains in the corresponding slab class, one free slab will be requested. Once Pipette can no longer allocate free memory from the shared region, two techniques are adopted according to the dynamic allocation strategy (see §IV-C4 for details): 1) Evict the least recently used item within that slab class, increase the slab class’s eviction count, and record this recycled item’s start offset into the slab class’s cleanup array. 2) Randomly pick an additional slab class with more than one slab, move one of its slabs’ data to the allocated memory out of the fine-grained read cache, and record the two offsets before and after this slab migration.

2) Adaptive Caching Mechanism: Pipette employs an adaptive caching mechanism to fully use the fine-grained read cache capacity. It monitors access patterns and dynamically adjusts a threshold, which is the metric to decide whether the data item should be cached into the fine-grained read cache, according to the reusability of workloads. The item’s reference count is compared against the current threshold on every access, preventing cold data from occupying precious mapping memory resources (Line 33-48 in Algorithm 1).

Traditional paging mechanism promotes every accessed page to the host DRAM, polluting the page cache by pages accessed infrequently. We initialize a default threshold to filter data with low reuse and dynamically adjust it to adapt to various access patterns, so that data can be promoted frequently when there is high data reuse and infrequently in the case of low data reuse. To detect and adapt to different data reuse patterns, Pipette maintains two access counters and a reuse counter to record the total number of byte-granular accesses to the fine-grained read cache and the number of repeated fine-grained accesses, respectively. The reusability of applications is measured by the ratio of the reuse count to the access count. A higher ratio indicates high reusability that more data is accessed frequently. We set minimum and maximum ratios to dynamically tune the threshold based on real-time reusability. If the current ratio falls below the minimum, Pipette increases the threshold so that the data is cached less frequently in the low data-reuse situation. On the contrary, a high current ratio larger than the maximum decreases the threshold to allow data promotion frequently. We also specify minimum and maximum thresholds to prevent too much or too fewer data from being cached in the fine-grained read cache (Line 25-29).

Pipette resets the fine-grained reuse counter and access counter (the one maintained to observe reusability fluctuations) when data reuse patterns change dramatically to preserve the most accurate real-time reusability (Line 16-24). This periodic reset strategy periodically records the reuse count and ratio within epochs and compares adjacent epochs’ reusability. Upon significant differences, the fine-grained access count, the reuse count and ratio are reset to those of the current phase.

3) Adaptive Reassignment Strategy: When workloads change, the initially allocated memory to each slab class may no longer be appropriate for subsequent applications. To balance the performance of different request sizes and access patterns, an adaptive reassignment strategy assists Pipette in adapting to different workloads. It periodically re-balances slab allocation to match the current workload without hindering application execution. A maintenance thread is used to monitor the use of slabs in each slab class by checking their eviction counts. It selects the slab class whose eviction count is unchanged in stages and picks one of its slabs for reassignment. Another re-balance thread is maintained to respond to the reassignment requested by the maintenance thread. It allocates a slab-size spare memory out of the fine-grained read cache and moves the victim slab’s data to this region. The recycled slab will finally be returned to the free-slab pool for serving subsequent read requests.

4) Dynamic Allocation Strategy: The dynamic allocation strategy adaptively makes the memory usage trade-off between two caches, the page cache and the fine-grained read cache, by comparing their hit ratio. Pipette initializes and maintains respective hit counters (Line 10, 31) and access counters (Line 1-5) for these two caches during the program execution. The hit ratio can be calculated based on these counters, that is, the ratio of the hit count to the access count. As shown in Algorithm 1 (Line 38-45), the higher the cache hit rate, more memory space should be assigned to the corresponding cache. Specifically, if the hit ratio of the fine-grained read cache is less than that of the page cache, the page cache dominates, and the least recently used item in the fine-grained read cache should be evicted when the shared memory has no spare space (solution 1 in §IV-C1). Suppose the fine-grained read cache has a hit ratio greater than or equal to that of the page cache. In that case, the solution of migrating slab (solution 2 in §IV-C1) is preferred when the shared memory is exhausted.

Algorithm 1 systematically describes the read path with caching mechanisms in the Pipette framework. Buffered read system calls (e.g., read() and pread() with O_FINE_GRAINED) fall into the VFS layer and entry into the page cache. According to the retrieved data size, they are classified into fine-grained and normal reads, and their respective access counters are updated (Line 1-5). Take a fine-grained read as an example. Upon a page cache hit (Line 6-12), the reuse counter is first increased to record tiny data’s
Algorithm 1: Read Data upon Dual Interfaces with Adaptive Caching Mechanisms

Variables:

\[
\begin{align*}
\text{NormalAccessCnt}, \text{AccessCnt}, \text{ReuseAccessCnt}, \text{PageCacheHitCnt}, \text{CacheHitCnt}, \text{ReuseCnt} & \leftarrow 0 \\
\text{PageCacheHitRatio}, \text{HitRatio}, \text{ReuseRatio} & \leftarrow 0 \\
\text{LwRatio} & \leftarrow 0.25, \text{HiRatio} \leftarrow 0.75 \\
\text{ResetCnt} & \leftarrow 10k, \text{EpochReuseCnt}, \text{EpochReuseCnt}_\text{old}, \text{EpochReuseRatio}, \text{EpochReuseRatio}_\text{old} \leftarrow 0 \\
\text{CurrThreshold} & \leftarrow 2, \text{MaxThreshold} \leftarrow 5, \text{MinThreshold} \leftarrow 2, \text{refCnt} \leftarrow 0
\end{align*}
\]

1: if fine-grained read then
2: \hspace{1em} \text{AccessCnt} + +, \text{ReuseAccessCnt} + +
3: else
4: \hspace{1em} \text{NormalAccessCnt} + +
5: end if
6: if page cache hit then
7: \hspace{1em} if fine-grained read then
8: \hspace{2em} \text{ReuseCnt} + +
9: \hspace{2em} end if
10: \hspace{1em} \text{PageCacheHitCnt} + +, \text{PageCacheHitRatio} \leftarrow \text{PageCacheHitCnt}/(\text{NormalAccessCnt} + \text{AccessCnt})
11: \hspace{1em} return data from the page cache
12: end if
13: if fine-grained read && per-file hashtable hit then
14: \hspace{1em} \text{refCnt} + +, \text{ReuseCnt} + +, \text{ReuseRatio} \leftarrow \text{ReuseCnt}/\text{ReuseAccessCnt}
15: \hspace{1em} /* Adaptive Caching Mechanism */
16: if \text{ReuseAccessCnt} \neq 0 && \text{ReuseAccessCnt} \% \text{ResetCnt} = 0 then
17: \hspace{2em} \text{EpochReuseCnt}_\text{old} \leftarrow \text{EpochReuseCnt}, \text{EpochReuseCnt} \leftarrow \text{ReuseCnt}
18: \hspace{2em} \text{EpochReuseRatio}_\text{old} \leftarrow \text{EpochReuseRatio}
19: \hspace{2em} \text{EpochReuseRatio} \leftarrow (\text{EpochReuseCnt} - \text{EpochReuseCnt}_\text{old})/\text{ResetCnt}
20: \hspace{1em} if \mid \text{EpochReuseRatio} - \text{EpochReuseRatio}_\text{old} \mid \geq 0.5 then
21: \hspace{2em} \text{ReuseRatio} \leftarrow \text{EpochReuseRatio}, \text{ReuseAccessCnt} \leftarrow \text{ResetCnt}
22: \hspace{2em} \text{ReuseCnt} \leftarrow \text{EpochReuseCnt} - \text{EpochReuseCnt}_\text{old}
23: \hspace{1em} end if
24: end if
25: if \text{ReuseRatio} < \text{LwRatio} && \text{CurrThreshold} < \text{MaxThreshold} then
26: \hspace{1em} \text{CurrThreshold} + +
27: else if \text{ReuseRatio} > \text{HiRatio} && \text{CurrThreshold} > \text{MinThreshold} then
28: \hspace{1em} \text{CurrThreshold} --
29: end if
30: if fine-grained cache hit then
31: \hspace{1em} \text{CacheHitCnt} + +, \text{HitRatio} \leftarrow \text{CacheHitCnt}/\text{AccessCnt}
32: \hspace{1em} return data from the fine-grained cache
33: \hspace{1em} /* Adaptive Caching Mechanism */
34: else if \text{refCnt} < \text{CurrThreshold} then
35: \hspace{1em} store data in TempBuf
36: \hspace{1em} return data from TempBuf
37: else if \text{refCnt} \geq \text{CurrThreshold} then
38: \hspace{1em} /* Dynamic Allocation Strategy */
39: if fine-grained cache is full then
40: \hspace{2em} if \text{HitRatio} < \text{PageCacheHitRatio} then
41: \hspace{3em} remove least recently used item
42: \hspace{2em} else
43: \hspace{3em} reassign
44: \hspace{2em} end if
45: \hspace{2em} end if
46: \hspace{1em} store data in the fine-grained cache
47: \hspace{1em} return data from the fine-grained cache
48: end if
49: end if
reusability, and the hit counter for the page cache is raised to calculate its hit ratio. Ultimately, demanded data is read from the hit page (Line 11). As for a page cache miss, Pipette determines whether it accesses a file range repeatedly. If so, the reference count of the corresponding range is recorded, and fine-grained data’s reuse counter and ratio are calculated (Line 13-14). Given the potential for dramatic reusability fluctuations, the adaptive caching mechanism periodically records the reuse count and ratio during epochs and compares neighboring epochs’ reusability. Whenever a fluctuation occurs, relative counters and ratios are reset to preserve the most accurate real-time reuse pattern (Line 15-24). Pipette then updates the current reference threshold based on this real-time reusability (Line 25-29). A reuse ratio lower than the minimum increases the threshold to keep data promotion infrequently and vice versa. Next, the fine-grained read cache is checked for the required data. Upon a tiny read hit, the hit count and ratio of the fine-grained read cache is updated and the hit data is returned from the host DRAM directly (Line 30-32). Instead, the adaptive caching mechanism compares the file range’s reference count to the current reference threshold. If the former is smaller, missing data is read into the TempBuf Area from the device and then transmitted to the user (Line 33-36). If the latter is smaller, Pipette tries to allocate space inside the Data Area for the request. The dynamic allocation strategy is adopted when the fine-grained read cache is full (Line 38-45). It compares hit ratios of dual caches and reclaims appropriate amount of mappable memory. The device transfers required data to the Data Area, which is then read from the fine-grained read cache to the user space. For the caching operation, we denote the length of link lists led by the corresponding hash heads as $n$, and the worst- and best-case time complexity for ensuring data consistency is $O(n)$ and $O(1)$.

D. Best-Effort Fine-Grained I/O Merging and Scheduling

A hierarchical architecture gives flash arrays a high degree of internal parallelism. A best-effort fine-grained I/O merging and scheduling scheme is performed before the request submission to utilize workload spatial locality and intra-device resources. Tiny reads whose requested data falls into logically continuous pages are combined into one request, facilitating efficient fine-grained reads while taking full advantage of device processing capability for performance optimizations.

Since logical blocks are striped over different SSD chips in multiple distinct flash memory packages, data accesses are performed independently in parallel. Though this is thanks to the FTL’s mapping algorithm and has little relevance to whether those LBAs are sequential, parallel writes when writing to a file allow sequential LBA reads for that file to leverage device parallelism to some extent accordingly. Accurate and comprehensive utilization of SSD internal parallelism requires caching FTL’s mapping table in the host-side mappable region or device-side I/O merging. However, this inevitably adds complexity to the programmability and management of byte-addressable SSDs, and it remains unclear whether system software and applications can benefit more in this case. Thus, we simply merge fine-grained reads that access data in consecutive LBAs to provide best-effort exploitation of device internal parallelism. Instead of submission queues that hold outstanding requests waiting to be processed by the device, we introduce a merge queue to manage I/O merging and rebuild merged requests before fine-grained reads are issued to the submission queues. After merge operations, merged requests are sorted in both LBA and chronological order on the host side (see Figure 5(b)). By overlapping the page movement time consumed by the fine-grained reads contained in each merged request, the cost for device internal datapath can be extremely decreased, achieving higher performance. For merged requests that cannot be transferred from the flash chips concurrently, the device automatically reads out the required pages in batches.

As illustrated in Figure 5, an arriving fine-grained read first stalls in the plug list to determine whether the submission queues and the merge queue are empty. If they are both empty, this fine-grained read is converted to a read request and is directly issued to the device, bypassing the merge queue. Instead, the earliest queued merged request inside the chronologically ordered merge queue is sent to the device if merely the submission queues are empty, and I/O merging is carried out by dispatching the fine-grained read to the merge queue. Furthermore, as long as one of the submission queues is not empty, i.e., the device is busy processing the previous request, the coming fine-grained read is flushed into the merge queue (whether empty or not) for merging. Pipette traverses the merge queue to conduct the best-effort I/O merging. It either combines the fine-grained read into one of the in-queue merged requests or logs the request with the logical block accessed or independently transforms the fine-grained read into a read request and inserts it in the appropriate location in the merge queue. For the latter, to ensure that read requests are submitted to the device strictly in the order of issue, it is also necessary

\begin{figure}[ht]
\centering
\includegraphics[width=\textwidth]{figure5.png}
\caption{(a) An Example for the Best-Effort Fine-Grained I/O Merging and Scheduling Flow. (b) LBA- and Time-Sorted Merge Queue Structure for this Example (supposed that LBA x is smaller than LBA y).}
\end{figure}
to synchronize the insertion of the newly created read request to the end of the chronologically organized merge queue.

Whenever the host driver is notified that the device processor is idle by receiving a request completion, the earliest read request populated into the chronologically organized merge queue is submitted to the device if the queue is not empty. Additionally, the corresponding read request in the merge queue, arranged by LBA and chronological order respectively, is removed to prevent subsequent fine-grained reads from IO merging with it. Such a stall-free submission mechanism ensures that application requests are processed without pausing on the device side while keeping IO merging as comprehensive as possible by constantly updating and flushing unsent requests. Through multi-threading and pipeline, the host will also perform other read requests or subsequent procedures of the corresponding read request in the process of device-side execution to achieve better performance.

We use an example to demonstrate the best-effort fine-grained I/O merging and scheduling scheme. As shown in Figure 5(a), fine-grained read 1 is issued when both submission queues and the merge queue are empty. It bypasses the merge queue and is directly submitted to the device as reconstructed request 1, which contains only one small read of its own. During the device execution, fine-grained reads numbered 2 to 5 are coming. Due to the non-empty submission queues, these four tiny reads are plugged into the merge queue to conduct I/O merging. Depending on whether the logical blocks they access are contiguous or not, merged requests 2 and 3 are created and inserted into the merge queue in LBA and chronological order respectively. When the completion of request 1 is returned, merged request 2 is sent down to the device for subsequent processing. Meanwhile, fine-grained read 6 falls into the merge queue since both the submission queues and the merge queue are not empty. It is combined with request 3, and an updated merged request 3’ is generated. In this case, the structure of the merge queue in both LBA and chronological order is illustrated in Figure 5(b).

According to the aforementioned states of the queues, requests and processing situations when each fine-grained read arrives, two merged requests are residing in the merge queue: one is request 2, including three records numbered 2, 4, and 5, that accesses two continuous LBAs starting at LBA y; and another one is request 3’, containing two records numbered 3 and 6, that accesses single LBA x. Assume that LBA x is smaller than LBA y, the LBA-sorted merge queue is organized with List Node 1 on the left as the head of the linked list, and request 3’ comes first. However, since fine-grained read 2 contained in request 2 is the earliest to be issued, the time-sorted merge queue is arranged with List Node 2 on the right as the head of the linked list, and request 2 is at the front. Note that request 2 will be removed from the LBA- and time-sorted merge queue after it is completed on the device side.

E. Implementation

We implement host-side components of Pipette as a kernel module based on Ext4 file system in the Linux kernel v5.4.0. Users can flexibly configure the fine-grained read cache according to specific application characteristics. The relevant settings object that consumes 32 bytes maintains passed-in parameters like the cache size, slab size, maximum/minimum item size and growth factor for item sizes. For effective management inside this slab-structure cache, the slabclass object records four types of information for each slab class:

- Basic information includes the contained items’ capacity, the number of slabs and items per slab, and slab location.
- Information of available capacity, i.e., the start offset of the following free item and the number of available items remaining in the last allocated slab.
- Information of reclamation, such as eviction count, the number of recycled items, and their locations.
- Information of realignment like the number of reassigned slabs and offsets before and after realignment.

Each slabclass object consumes 80 bytes to retain the aforementioned information, whose storage overhead is trivial.

A new file open flag, O_FINE_GRAINED, is introduced to use the proposed fine-grained read path selectively. The implementation supports fine-grained reads by calling POSIX read() system call. We modify the VFS layer and file system layer to support productive caching management. To assist adaptive caching mechanisms, several counters recording access, reuse and hit counts of dual caches, ratios indicating reusability, cache efficiency and hit rates in period, and thresholds are maintained (as shown by the Variables in Algorithm 1), which consumes 68 bytes. Besides, a group of per-file hash lookup tables, whose table sizes are adaptive to their corresponding file sizes, is managed to keep three types of associated information of fine-grained data:

- Basic information like the file offset, data length, reference count, and location inside fine-grained read cache.
- Management information for maintaining LRU lists, hash tables, slabs and waitqueues.
- Flag bits for status, such as cached or uncached, updated or not updated, and reassigned or not reassigned.

The per-file hash lookup table has entries with 112 bytes each, and 24 of those bytes are used for the LRU list’s management.

To support the interactions between the host and device, a lightweight ebio object is introduced to bypass the expensive block layer, avoiding unnecessary memory cost of fine-grained access. Each ebio object consumes 136 bytes to store its:

- Essential information like LBA, the start offset inside the LBA, data length, destination address relative to the fine-grained read cache and status.
- Information of I/O merging, such as the number of LBAs and fine-grained reads of merged requests, and tiny reads’ LBA offset in the merged request’s consecutive LBAs.
- Management information for maintaining the sequence of fine-grained reads that make up a merged request and managing merge queue in LBA and chronological order.

Since Pipette no longer uses the bio, bio_vec, request, iod, and prp_list objects allocated in the block layer of the traditional storage system, the storage overhead for holding the information of fine-grained reads is insignificant. As for statically allocated memory, Pipette replaces the per-
core request pool, which requires 412KB memory, with the per-core ebio of size 136KB.

We develop a prototype based on the YS9203 NVMe SSD development platform [19]. The details are listed in Figure 6. We implement Pipette by modifying firmware. We convert a real SSD to a byte-addressable SSD and emulate a portion of available internal DRAM as the SSD-Cache. The device-side address range corresponding to the HMB is remapped to the host-side fine-grained read cache through DMA mapping to achieve a byte-addressable interface. We also extend the NVMe command set to support fine-grained reads. Pipette uses the introduced command upon the byte-accessibility of modern SSDs to build a fine-grained read framework while providing direct access to any data in multi-granularity.

![Pipette Hardware Prototype and Specification](image)

For multiple threads, we maintain a thread pool in the user program to execute concurrent tasks orderly without overhead for repeatedly creating and destroying threads. Moreover, thread affinity is leveraged to eliminate the impact of thread migration caused by the operating system’s scheduling. Through `pread()`, the host is capable of guaranteeing the atomicity of fine-grained reads under concurrent conditions. We also use waitqueues and spinlocks in the Linux kernel to synchronize access to management resources, ensuring correctness. On the device side, we further modify the firmware to support internal parallelism in its vendor read path.

V. EVALUATION

A. Experimental Setup

We use a server machine with an 8-core Intel(R) Core(TM) i7-9700K CPU running at 3.60GHz with 64GB of DRAM for our experiments. For evaluations, we utilize both synthetic workloads and real-world data-intensive applications to quantify performance benefits of Pipette in different aspects. Under these popular workloads, we report the throughput normalized to the block I/O and the I/O traffic on read operations.

We compare Pipette (donated as Pipette) with conventional block I/O (denoted as Block I/O) and the state-of-the-art fine-grained approach, 2B-SSD [10], which supports two read modes through MMIO and DMA (denoted as 2B-SSD MMIO and 2B-SSD DMA, respectively, and collectively referred to as 2B-SSD). We also compare Pipette with Pipette w/o merging, a solution without scheduling and merging scheme, and Pipette w/o c\&m, another solution without both the fine-grained read cache and the merging scheme. Note that 2B-SSD and Pipette w/o c\&m have no caching supported, we refer to them collectively as no-host-cache approaches.

<table>
<thead>
<tr>
<th>Item</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Host Interface</td>
<td>PCIe Gen.3 x 4</td>
</tr>
<tr>
<td>Protocol</td>
<td>NVMe 1.2</td>
</tr>
<tr>
<td>Module Capacity</td>
<td>475GB</td>
</tr>
<tr>
<td>SSD Architecture</td>
<td>8 channels</td>
</tr>
<tr>
<td>SSD Address</td>
<td>2 cores</td>
</tr>
<tr>
<td>Storage Medium</td>
<td>SLC NAND flash</td>
</tr>
<tr>
<td></td>
<td>MLC NAND flash</td>
</tr>
<tr>
<td></td>
<td>TLC NAND flash</td>
</tr>
<tr>
<td>Mapping Region</td>
<td>64MB</td>
</tr>
<tr>
<td>Max DDR size</td>
<td>4GB</td>
</tr>
</tbody>
</table>

![TABLE I: Synthetic Workloads](image)

<table>
<thead>
<tr>
<th>Workload</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
</tr>
</thead>
<tbody>
<tr>
<td>Large/small read ratio</td>
<td>100/0</td>
<td>90/10</td>
<td>50/50</td>
<td>10/90</td>
<td>0/100</td>
</tr>
</tbody>
</table>

1 Default small read size: 128B, large read size: 4096B. 2 Request distribution: uniform random and zipfian (α=0.8).

B. Results under Synthetic Workloads

Five synthetic workloads are constructed by varying the large and small read ratio, as listed in Table I. We perform 2.5 million read requests using synthetic workloads. The file offset of each request is chosen according to the uniform and zipfian distribution. When using a uniform distribution, the file offset is chosen uniformly at random. When using a zipfian distribution, some offsets will be extremely popular (the head of the distribution) while others will be unpopular (the tail), indicating a better spatial reuse pattern. We conduct experiments with one thread. Except for Block I/O and 2B-SSD, we only compare Pipette with Pipette w/o c\&m since the merging scheme makes no sense under a single thread.

Under read requests with uniform distribution, Pipette achieves a higher throughput as the ratio of small reads grows, as shown in Figure 7. For pure fine-grained read workload E, it gains 31.2× performance benefit compared to Block I/O. Moreover, for pure large read workload A, Pipette causes negligible overhead. Pipette achieves a significant throughput enhancement mainly because of its caching mechanisms and workloads’ temporal locality. The fine-grained read cache adaptively holds data with high reuse, preventing DRAM from polluting by low-reusable data. Moreover, it could store tiny data compactly, providing more memory for subsequent highly-reused data. On the contrary, page cache promotes every accessed data in page granularity with prefetching, inducing pollution/thrashing problems and frequent page movement in the poor spatial data-reuse situation, as is the case with uniform distribution. No-host-cache approaches slightly improve the performance by avoiding prefetching overhead. Besides, 2B-SSD MMIO suffers a performance degradation when the percentage of large reads increases. This is because MMIO is a non-posted transaction that requires waiting for a completion and is split into small-size (up to 8 bytes on current x86 CPUs) read transactions to guarantee atomicity [10].

Table II shows the I/O traffic for workloads with uniform distribution. Pipette drastically reduces the I/O traffic by up to 37.3× due to flexible accesses and adaptive caching to consider temporal locality. More block I/Os involved as large reads increases, data transfer increment caused by the read-ahead strategy and page-level migrations gradually raises Pipette’s I/O traffic. Since prefetching depends on the location distribution, instead of size distribution. Block I/O has similar I/O traffic in all workloads. No-host-cache approaches only transfer needed data using byte accessibility; their I/O traffic is identical and lower than Block I/O when small reads dominate.

Figure 8 plots the measured throughput of workloads with zipfian distribution. It shows a similar trend as the previous experimental results but with a slight improvement in through-
put when small reads dominated, which is from 1.1× to 1.4×.
Because workloads with zipfian distribution preserve certain
levels of spatial locality, page cache gains benefits from its
advantages. Thus, Pipette has a smaller optimization space.
Results of no-host-cache approaches are also similar. In the
case of more small reads, 2B-SSD DMA or Pipette w/o c&m
gets the worst performance because of their software overhead,
(i.e., overhead for DMA setting or long I/O stack, respectively.
As for 2B-SSD MMIO, it has the best performance when small
reads dominate due to its fast speed of reading tiny data but
turns to the worst performance in the case of more large reads.

Under read requests with zipfian distribution, Block I/O
transfers 22.5× amount of data in the case of pure small read
workload E, as shown in Table III. Compared to workloads
with uniform distribution, Block I/O has less I/O traffic incre-
ment since the data reuse pattern with better spatial locality
from zipfian distribution and the read-ahead strategy increase
its chance of getting data directly from the page cache.

We now compare average read latencies of varying request
sizes from 8 bytes to 4KB under small-read-intensive workload
E with uniform distribution, as reported in Figure 9. Except for
2B-SSD MMIO, each approach performs stably for different
read sizes. Pipette achieves a read latency of 2.31-10.55μs
thanks to the adaptive caching mechanisms. It shows 9.3× to
33.6× shorter latencies than Block I/O since transparently
exploiting both byte accessibility and the fast DRAM access.
Due to the page migration and read-ahead strategy, Block I/O
suffers 14.56-38.89μs slower than 2B-SSD DMA, which gains
performance from concurrency and fewer in-SSD CPU cycles
required to transfer data. As for 2B-SSD DMA and Pipette w/o
c&m, their time-consuming software overhead, including per-
access DMA mapping and long I/O stack, introduce significant
latency, making them comparable latency and 11.51-24.53μs
slower than 2B-SSD MMIO. Besides, the latency of 2B-SSD
MMIO increases in proportion to request sizes. It consumes
much more time than Pipette w/o c&m and 2B-SSD DMA at
a read request size of approximately 1KB.

C. Results under Real-world Applications

In this section, we evaluate Pipette performance with typical
applications: recommendation system and social graph. We
limit the host DRAM size for the workloads to simulate a
memory-starved environment while ensuring the main memory
required by the operating system. For the high learning rate
of the adaptive caching mechanisms and their efficiency, we
set the counter of CurrThreshold to 2, and initialize
MaxThreshold, MinThreshold and ResetCnt to 5, 2
and 10000, respectively. Users can adjust these parameters
flexibly based on workload characteristics in the pursuit of
a higher hit ratio, lower memory usage, or higher throughput.

1) Performance Benefit for Recommendation System: We
now demonstrate the benefit of Pipette for the recommendation
system, a typical high-performance computing (HPC) applica-
tion broadly utilized in modern online services to provide
personalized suggestions based on user interests and preferences.
The recommendation models are data- and memory-intensive
due to the need to handle categorical input features. In our
experiments, multiple threads cooperate to handle these sparse
input features by looking up fixed-sized (128B) embeddings
from the 4.1GB tables inside the SSD [5], [20]. We keep the
host DRAM size at 60% of total table size, i.e., 2473MB,
avoiding all data cached upon the block-based interface.

Figure 10 shows the throughput of the recommendation
system with multiple threads, and results are normalized to

![Graph 7: Normalized Throughput of Synthetic Workloads with Uniform Distribution.](image7)

![Graph 8: Normalized Throughput of Synthetic Workloads with Zipfian Distribution.](image8)

![Graph 9: Read Latency of Workload E of Varying Size with Uniform Distribution.](image9)

| TABLE II
| I/O TRAFFIC OF SYNTHETIC WORKLOADS WITH UNIFORM DISTRIBUTION (MB). |
|---|---|---|---|---|---|
| | A | B | C | D | E |
| Block I/O | 2973.6 | 2973.6 | 2973.6 | 2973.6 | 2973.6 |
| No-Host-Cache | 9765.6 | 8819.6 | 5035.4 | 1251.2 | 305.2 |
| Pipette | 2973.6 | 2678.4 | 1479.7 | 313.45 | 79.8 |

| TABLE III
| I/O TRAFFIC OF SYNTHETIC WORKLOADS WITH ZIPFIAN DISTRIBUTION (MB). |
|---|---|---|---|---|---|
| | A | B | C | D | E |
| Block I/O | 748.3 | 748.3 | 748.3 | 748.3 | 748.3 |
| No-Host-Cache | 9765.6 | 8819.6 | 5035.4 | 1251.2 | 305.2 |
| Pipette | 748.3 | 684.2 | 399.9 | 107.0 | 33.3 |
Block I/O and one thread. Pipette scales the throughput well as the number of threads increases and outperforms Block I/O from 1.26× to 1.50× due to thread-level concurrency, pipeline, and device-level parallelism. Pipette performs faster than Block I/O because many random fine-grained reads are retrieved directly from the fast host DRAM, thanks to the adaptive hot-data promotion. Cache-missed requests are issued to the SSD through the byte-addressable interface, preventing inefficient data movements for low-reusable pages. Moreover, the best-effort merging scheme combines several reads into one batch request that accesses logically-neighboring flash pages, providing a high parallelism to alleviate device-side transferring overhead caused by the internal datapath.

Fewer data is transferred between the SSD and the host DRAM upon byte-accessibility. Pipette reduces I/O traffic by 41.3× and 21.6× compared to Block I/O and no-host-cache approaches, respectively, as shown in Table IV. Pipette’s adaptive caching mechanisms and refined cache organization make its drastically fewer data movements. Multi-granular data is stored compactly to provide more available DRAM for the frequently-accessed portion of the application’s working set. Besides, the dedicated access scheme for fine-grained reads avoids redundant page migrations and read-ahead strategy, further offering a high hit ratio of about 95.36% and much less memory usage of about 116MB.

2) Performance Benefit for Social Graph: Beyond the HPC workload, we also evaluate the performance benefit of Pipette for large-scale social networks. Many of data powering social networks are represented as social graphs, which includes nodes and edges and are organized into databases. In our experiments, we vary the number of threads from 1 to 4 and use LinkBench [7], an open-source benchmark closely based on production databases that store social graphs for Facebook, to generate a 2GB graph. The requests are made to access the graph’s nodes and edges with a memory limit of 1258MB (60% of the graph’s size). The mean payload per node is 143.3 bytes, while the average payload per edge is much smaller at 15.3 bytes. Figure 11 shows the overall distributions of nodes’ and edges’ data sizes. The experiments with social graphs aim to illustrate that Pipette can also flexibly adapt to memory-intensive applications that retrieve objects of various sizes.

Pipette outperforms Block I/O by 1.30-1.54× in throughput, as shown in Figure 12 (results are normalized to Block I/O and one thread). As we increase the number of threads, the benefit brought by Pipette over Block I/O is increased because the best-effort merging and scheduling scheme can utilize device internal parallelism. The elegant concurrency of device-side whole-page movements and host-side metadata processing operations allows single transferring overhead for SSD’s internal datapath to serve multiple fine-grained reads, providing high performance in multi-threaded scenarios. As for one thread, Pipette gains benefits because of efficient caching structure and mechanisms, which is also shown from the low throughput of the no-host-cache approaches. In the case of Pipette, only demanded data are moved between the SSD and the host DRAM to store flexibly via the byte-accessible interface. Furthermore, by accessing tiny data without the paging mechanism and read-ahead strategy, the pollution and thrashing problems of the limited DRAM are relieved, providing high DRAM efficiency.

Fig. 10. Normalized Throughput of Recommendation System.

![Normalized Throughput of Recommendation System](image)

**Table IV**

<table>
<thead>
<tr>
<th>Hit Ratio (%)</th>
<th>Memory Usage (MB)</th>
<th>I/O Traffic (MB)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Block I/O</td>
<td>95.16%</td>
<td>2388</td>
</tr>
<tr>
<td>No-Host-Cache</td>
<td>\</td>
<td>4062.50</td>
</tr>
<tr>
<td>Pipette w/o merging</td>
<td>95.36%</td>
<td>116</td>
</tr>
<tr>
<td>Pipette</td>
<td>95.36%</td>
<td>116</td>
</tr>
</tbody>
</table>

1 The limited host DRAM capacity is set to 2473MB.
2 Results are measured under one thread.

Fig. 11. Payload Bytes of Social Graphs’ Data in LinkBench.

![Payload Bytes of Social Graphs’ Data in LinkBench](image)

**Table V**

<table>
<thead>
<tr>
<th>Hit Ratio (%)</th>
<th>Memory Usage (MB)</th>
<th>I/O Traffic (MB)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Block I/O</td>
<td>95.73%</td>
<td>1191</td>
</tr>
<tr>
<td>No-Host-Cache</td>
<td>\</td>
<td>1439.20</td>
</tr>
<tr>
<td>Pipette w/o merging</td>
<td>95.45%</td>
<td>70</td>
</tr>
<tr>
<td>Pipette</td>
<td>95.45%</td>
<td>70</td>
</tr>
</tbody>
</table>

1 The limited host DRAM capacity is set to 1258MB.
2 Results are measured under one thread.
Since fixed-granular management and accesses, Block I/O transfers a tremendous amount of data and stores redundant parts of pages in the page cache. As shown in Table V, Pipette reduces data movement by 56.5 × lower than Block I/O and alleviates memory usage by orders of magnitude. No-host-cache solutions transfer data in byte granularity, avoiding extra I/O traffic caused by unnecessary data, thus having comparable I/O traffic slightly fewer than Block I/O. However, Pipette has significantly fewer data movements than others because its caching mechanisms provide a high hit ratio, 95.45%, enabling more data to be accessed from the cache. Further, the compact organization of the fine-grained read cache for the necessary data greatly reduces Pipette memory usage to about 70MB.

Table VI

<table>
<thead>
<tr>
<th>Hit Ratio (%)</th>
<th>Memory Usage (MB)</th>
<th>I/O Traffic (MB)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Block I/O</td>
<td>95.34%</td>
<td>11036.62</td>
</tr>
<tr>
<td>No-Host-Cache</td>
<td>14.66%</td>
<td>5501.70</td>
</tr>
<tr>
<td>Pipette w/o merging</td>
<td>95.39%</td>
<td>186</td>
</tr>
<tr>
<td>Pipette</td>
<td>95.39%</td>
<td>186</td>
</tr>
</tbody>
</table>

1 The limited host DRAM capacity is set to 3748MB.
2 Results are measured under one thread.

3) Performance Benefit for the Mixed Real-World Workload: We now evaluate Pipette’s performance with a mixed real workload to prove that Pipette scales well to datasets of varying sizes and heterogeneity. We concatenate the workloads together to prove that Pipette scales well to datasets of varying sizes and heterogeneity. We concatenate the workloads of both the recommendation system and social graph to simulate their continuous execution with a host DRAM limitation about 60% of the dataset size, that is, 3748MB.

Figure 13 shows the throughput of the hybrid workloads with multiple threads. Normalized to Block I/O and one thread, Pipette performs better than Block I/O by 1.28 × to 1.51 ×, which is comparable to the performance gain for the case of a single application. As shown in Table VI, Pipette transfers significantly less data with a considerable hit ratio and much less memory usage, regardless of whether the workload is heterogeneous. For large working sets, performance can be further improved by enlisting the mappable memory region.

VI. CONCLUSION

This paper proposes Pipette, a fine-grained read framework including adaptive host-side multi-granular read cache and best-effort merging and scheduling strategies, as an extension to the traditional I/O framework. Pipette could not only offer a tremendous saving in I/O traffic but also provide a significant performance gain for fine-grained reads. A prototype of Pipette is implemented with Ext4 file system on an SSD development platform. Experimental results show that Pipette can improve throughput by up to 50% and 54% for real-world recommendation system and social graph under multiple threads.

ACKNOWLEDGMENTS

This work was supported in part by the National Natural Science Foundation of China under Grant No. 61821003, No. U2001203, No. 61872413, No. 61902137, and by the Research Grants Council of the Hong Kong Special Administrative Region, China under Grant No. CityU 11217020, No. CityU 11218720.

REFERENCES


BIography Section

Shuhan Bai (Member, IEEE) received the B.E. degree in Communication Engineering from Wuhan University of Technology in 2018. She is currently pursuing the Ph.D. degree in Computer Science at Huazhong University of Science and Technology and City University of Hong Kong.

Her research interests include computer architecture, high-performance and high-reliability storage systems, near-data processing and smart storage.

Hu Wan (Member, IEEE) received the B.E. and M.S. degrees in Computer Science from Capital Normal University in 2013 and 2017, respectively, and the Ph.D. degree in Computer Science from City University of Hong Kong.

His research interests include memory and storage systems, emerging non-volatile memory and storage technologies, in-storage computing, and systems for machine learning.

Yun Huang (Member, IEEE) received the B.E. degree in Information Engineering from South China University of Technology in 2020. She is currently pursuing the Ph.D. degree in Computer Science at City University of Hong Kong.

Her research interests include near-data processing and smart storage.

Xuan Sun (Member, IEEE) received the B.S. degree in Electronic Information Science and Technology from Nanjing University of Aeronautics and Astronautics in 2014 and the M.S. degree in Computer Control and Automation from Nanyang Technological University in 2015. She received the Ph.D. degree in Computer Science from City University of Hong Kong in 2021. She is currently a postdoc at Imperial College London.

Her research interests include hardware acceleration and in-storage computing framework.

Fei Wu (Member, IEEE) received the B.E. and M.E. degrees in Electrical Automation, Control Theory and Control Engineering from Wuhan Industrial University in 1997 and 2000, respectively. She received the Ph.D. degree in Computer Science from Huazhong University of Science and Technology (HUST) in 2005.

She is currently a Professor in the Wuhan National Laboratory for Optoelectronics at HUST. Her research interests include computer architecture, high-performance and high-reliability storage systems.

Changsheng Xie (Member, IEEE) received the B.E. and M.E. degrees in Computer Science and Technology from Huazhong University of Science and Technology (HUST) in 1982 and 1988, respectively.

He is currently a Professor in the Wuhan National Laboratory for Optoelectronics at HUST. He is also the Director of the data storage systems laboratory of HUST and the key laboratory of Ministry of Education of China. His research interests include computer architecture, emerging memory and storage, big data storage and computing systems.

Hung-Chih Hsieh works in the R&D department of YEESTOR Microelectronics Co., Ltd. He is the architect of NVMe storage solutions and is involved in other NVMe-related topics, such as computing storage devices, NVMe-oF, ZNS and Open-Channel SSDs.

Tei-Wei Kuo (Fellow, IEEE) received his B.S.E. and Ph.D. degrees in Computer Science from National Taiwan University (NTU) and University of Texas at Austin in 1986 and 1994, respectively.

He is the Distinguished Professor of Department of Computer Science and Information Engineering of NTU. His research interest includes embedded systems, non-volatile-memory software designs, neuromorphic computing, and real-time systems.

Dr. Kuo is Vice Chair of ACM SIGAPP and Chair of ACM SIGBED Award Committee.

Chun Jason Xue (Senior Member, IEEE) received the B.S. degree in Computer Science and Engineering from University of Texas at Arlington in 1997, and the M.S. and Ph.D. degrees in Computer Science from University of Texas at Dallas in 2002 and 2007, respectively.

He is now a Professor in the Department of Computer Science at the City University of Hong Kong. His research interests include memory and storage systems.